

LECTURE 9  
MONDAY FEBRUARY 3

# Top-Down Parsing: Algorithm

**backtrack**  $\triangleq$  pop *focus.children*; *focus* := *focus.parent*; *focus.resetChildren*

**ALGORITHM:** *TDParse*

**INPUT:** CFG  $G = (V, \Sigma, R, S)$

**OUTPUT:** *Root of a Parse Tree* or *Syntax Error*

**PROCEDURE:**

*root* := a new node for the start symbol *S*

*focus* := *root*

initialize an empty stack *trace*

*trace.push*(null)

*word* := *NextWord*()

**while** (true):

**if** *focus*  $\in V$  **then**

**if**  $\exists$  unvisited rule *focus*  $\rightarrow \beta_1\beta_2\dots\beta_n \in R$  **then**

**create**  $\beta_1, \beta_2, \dots, \beta_n$  **as** children of *focus*

*trace.push*( $\beta_n\beta_{n-1}\dots\beta_2$ )

*focus* :=  $\beta_1$

**else**

**if** *focus* = *S* **then** *report syntax error*

**else** **backtrack**

**end**

**end**

**elseif** *word* matches *focus* **then**

*word* := *NextWord*()

*focus* := *trace.pop*()

**elseif** *word* = EOF  $\wedge$  *focus* = null **then** *return root*

**else** **backtrack**

**end**

# Top-Down Parsing: Discovering **Leftmost** Derivations (1)

**backtrack**  $\triangleq$  pop focus.children; focus := focus.parent; focus.resetChildren

Parse: a + a \* a

ALGORITHM: *TDParse*

INPUT: CFG  $G = (V, \Sigma, R, S)$

OUTPUT: *Root of a Parse Tree* or *Syntax Error*

PROCEDURE:

→ *root* := a new node for the start symbol *S*

→ *focus* := *root*

→ initialize an empty stack trace

→ *trace.push(null)*

→ *word* := NextWord()

while (true):

→ if *focus*  $\in V$  then

→ if  $\exists$  *unvisited* rule *focus*  $\rightarrow \beta_1\beta_2\dots\beta_n \in R$  then

→ create  $\beta_1, \beta_2, \dots, \beta_n$  as children of *focus*

*trace.push*( $\beta_n\beta_{n-1}\dots\beta_2$ )

*focus* :=  $\beta_1$

else

if *focus* = *S* then **report syntax error**

else **backtrack**

end

end

elseif *word* matches *focus* then

*word* := NextWord()

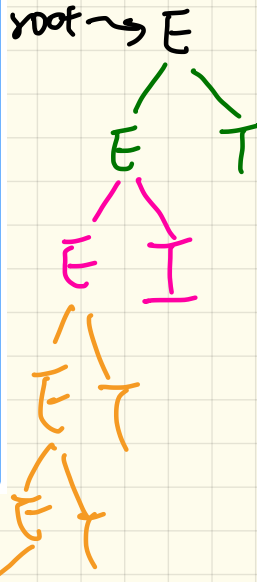
*focus* := *trace.pop*()

elseif *word* = EOF  $\wedge$  *focus* = null then **return root**

else **backtrack**

end

<u>Expr</u> E	→	<u>Expr</u> + <u>Term</u>
		Term
<u>Term</u> T	→	Term * Factor
		Factor
<u>Factor</u> F	→	(Expr)
		a



word: a

focus: ~~E~~ ~~E~~ E

# Left-Recursions (LRs): Direct vs. Indirect

## Direct Left-Recursions:

$Expr$	$\rightarrow$	$Expr + Term$
		$Term$
$Term$	$\rightarrow$	$Term * Factor$
		$Factor$
$Factor$	$\rightarrow$	$(Expr)$
		$a$

$Expr$	$\rightarrow$	$Expr + Term$
		$Expr - Term$
		$Term$
$Term$	$\rightarrow$	$Term * Factor$
		$Term / Factor$
		$Factor$

## Indirect Left-Recursions:

②  $A \rightarrow Ba, B \overset{*}{\Rightarrow} Aatd$

$A$	$\rightarrow$	$Br$
$B$	$\rightarrow$	$Cd$
$C$	$\rightarrow$	$At$

$A$	$\rightarrow$	$Ba$		$b$
$B$	$\rightarrow$	$Cd$		$e$
$C$	$\rightarrow$	$Df$		$g$
$D$	$\rightarrow$	$f$		$Aa$   $Cg$

①  $A \rightarrow Br$  ②  $B \overset{*}{\Rightarrow} Aatd$

# CFGs: Left-Recursive vs. Right-Recursive

## CFG with Left Recursions

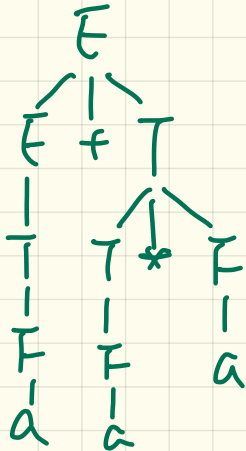
<u>Expr</u>	→	Expr + Term
		Term
Term	→	Term * Factor
		Factor
Factor	→	(Expr)
		a

## CFG with Right Recursions

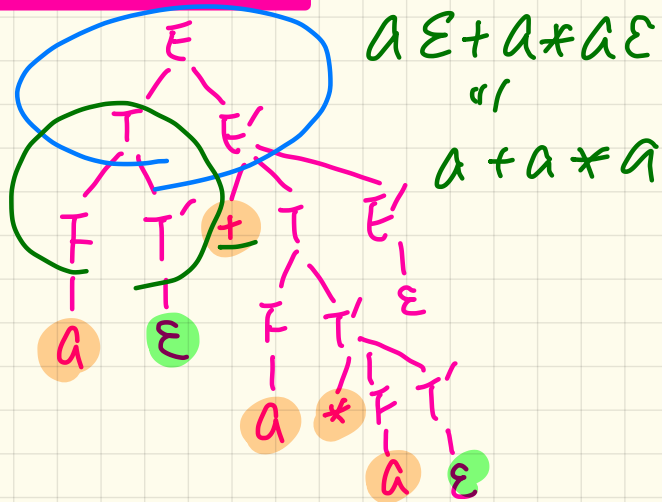
Expr	→	Term Expr'
Expr'	→	+ Term Expr'
		ε
Term	→	Factor Term'
Term'	→	* Factor Term'
		ε
Factor	→	(Expr)
		a

x ε-product

Example: a + a \* a



algo.  
semantically  
presenting.



$a \epsilon + a * a \epsilon$   
" "  
 $a + a * a$

# Top-Down Parsing: Discovering **Leftmost** Derivations (2)

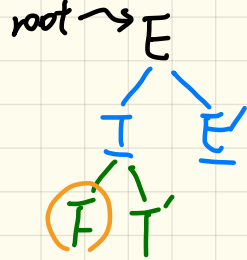
**backtrack**  $\triangleq$  `pop focus.children; focus := focus.parent; focus.resetChildren`

**Parse:**  $a + a * a$

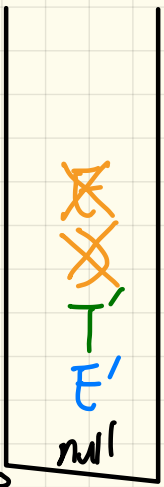
```

ALGORITHM: TDParse
INPUT: CFG G = (V, Σ, R, S)
OUTPUT: Root of a Parse Tree or Syntax Error
PROCEDURE:
  root := a new node for the start symbol S
  focus := root
  initialize an empty stack trace
  trace.push(null)
  word := NextWord()
  while (true):
    if focus ∈ V then
      if unvisited rule focus → β1β2...βn ∈ R then
        create β1β2...βn as children of focus
        trace.push(βnβn-1...β2)
        focus := β1
      else
        if focus = S then report syntax error
        else backtrack
      end
    elseif word matches focus then
      word := NextWord()
      focus := trace.pop()
    elseif word = EOF ∧ focus = null then return root
    else backtrack
  end
  
```

Expr	→	Term Expr'
Expr'	→	+ Term Expr'
		ε
Term	→	Factor Term'
Term'	→	* Factor Term'
		ε
Factor	→	(Expr)
		a



look ahead



word:  $a$

focus: ~~E~~ ~~Expr'~~ ~~Term~~ ~~Term'~~ F

trace

# Top-Down Parsing: Discovering **Leftmost** Derivations (3)

**backtrack**  $\triangleq$  pop *focus.children*; *focus* := *focus.parent*; *focus.resetChildren*

**Parse:**  $(a + a) * a$

**ALGORITHM:** *TDParse*

**INPUT:** *CFG G = (V,  $\Sigma$ , R, S)*

**OUTPUT:** *Root of a Parse Tree* or *Syntax Error*

**PROCEDURE:**

*root* := a new node for the start symbol *S*

*focus* := *root*

initialize an empty stack trace

*trace.push(null)*

*word* := *NextWord()*

**while (true):**

**if** *focus*  $\in$  *V* **then**

**if**  $\exists$  *unvisited* rule *focus*  $\rightarrow$   $\beta_1\beta_2\dots\beta_n \in R$  **then**

**create**  $\beta_1, \beta_2, \dots, \beta_n$  as children of *focus*

*trace.push*( $\beta_n\beta_{n-1}\dots\beta_2$ )

*focus* :=  $\beta_1$

**else**

**if** *focus* = *S* **then** *report syntax error*

**else** *backtrack*

**end**

**end**

**elseif** *word* matches *focus* **then**

*word* := *NextWord()*

*focus* := *trace.pop()*

**elseif** *word* = *EOF*  $\wedge$  *focus* = null **then** *return root*

**else** *backtrack*

**end**

*Expr*  $\rightarrow$  *Term Expr'*

*Expr'*  $\rightarrow$  + *Term Expr'*

|  $\epsilon$

*Term*  $\rightarrow$  *Factor Term'*

*Term'*  $\rightarrow$  \* *Factor Term'*

|  $\epsilon$

*Factor*  $\rightarrow$  (*Expr*)

| a

# Top-Down Parsing

automate

left-most derivation

look ahead  
to avoid  
back tracking.

precondition:

CFG  $G$  is  
right-recursive

CFG  $G$  cannot contain  
any  $\wedge$  left-recursive  
direct or indirect



$A \rightarrow B$

$B \rightarrow C$

$C \rightarrow A$

# Removing Left-Recursions: Algorithm

```

1  ALGORITHM: RemoveLR
2  INPUT: CFG  $G = (V, \Sigma, R, S)$ 
3  ASSUME:  $G$  acyclic  $\wedge$  with no  $\epsilon$ -productions
4  OUTPUT:  $G'$  s.t.  $G' \equiv G$ ,  $G'$  has no
5           indirect & direct left-recursions
6  PROCEDURE:
7  impose an order on  $V$   $\langle\langle A_1, A_2, \dots, A_n \rangle\rangle$ 
8  for  $i: 1 \dots n$ :
9    for  $j: 1 \dots i-1$ :
10   if  $\exists A_i \rightarrow A_j \gamma \in R \wedge A_i \rightarrow \delta_1 | \delta_2 | \dots | \delta_m \in R$  then
11     replace  $A_i \rightarrow A_j \gamma$  with  $A_i \rightarrow \delta_1 \gamma | \delta_2 \gamma | \dots | \delta_m \gamma$ 
12   end
13   for  $A_i \rightarrow A_i \alpha | \beta \in R$ :
14     replace it with:  $A_i \rightarrow \beta A', A' \rightarrow \alpha A' | \epsilon$ 

```

$\delta_1 | \delta_2 \dots \delta_m$

$A_i \rightarrow A_j \gamma$

↳

$A_i \rightarrow \delta_1 \gamma$

|  $\delta_2 \gamma$

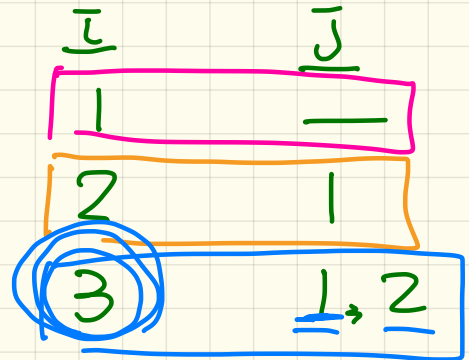
|  $\delta_m \gamma$

→ remove direct LR's.

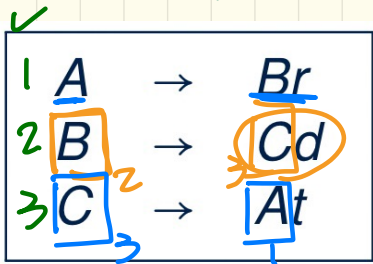
remove indirect LR's

# Removing Left-Recursions (1)

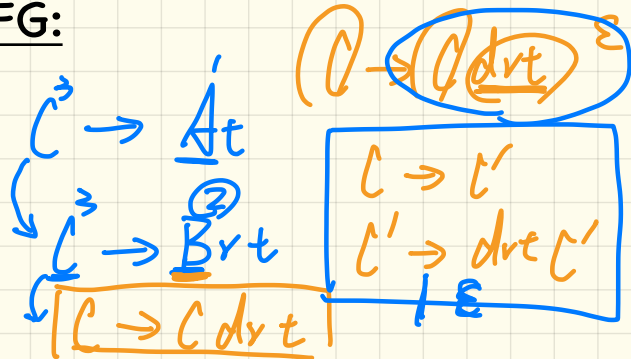
1 **ALGORITHM:** *RemoveLR*  
 2 **INPUT:** CFG  $G = (V, \Sigma, R, S)$   
 3 **ASSUME:**  $G$  acyclic  $\wedge$  with no  $\epsilon$ -productions  
 4 **OUTPUT:**  $G'$  s.t.  $G' \equiv G$ ,  $G'$  has no  
 5 **indirect** & **direct** left-recursions  
 6 **PROCEDURE:**  
 7  $\rightarrow$  impose an order on  $V$ :  $\langle (A_1, A_2, \dots, A_n) \rangle$   
 8  $\rightarrow$  for  $i$ : 1 ..  $n$ :  
 9  $\rightarrow$  for  $j$ : 1 ..  $i-1$ :  
 10  $\rightarrow$  if  $\exists A_i \rightarrow A_j \gamma \in R \wedge A_j \rightarrow \delta_1 | \delta_2 | \dots | \delta_m \in R$  then  
 11  $\rightarrow$  replace  $A_i \rightarrow A_j \gamma$  with  $A_i \rightarrow \delta_1 \gamma | \delta_2 \gamma | \dots | \delta_m \gamma$   
 12  $\rightarrow$  end  
 13  $\rightarrow$  for  $A_i \rightarrow A_i \alpha, \beta \in R$ :  
 14  $\rightarrow$  replace it with:  $A_i \rightarrow \beta A', A' \rightarrow \alpha A' | \epsilon$

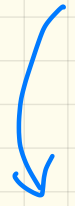
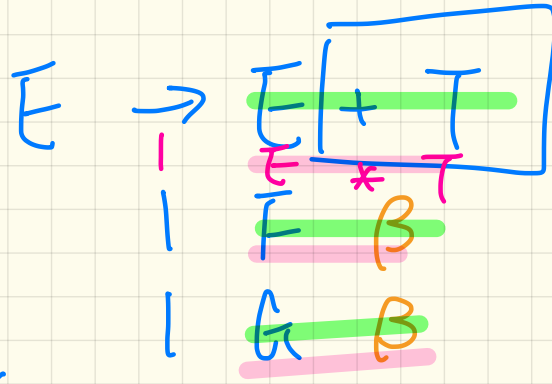


## Indirectly Left-Recursive CFG:



$\bar{i} = 2$   
 $\bar{j} = 1$





$$E \rightarrow F E'$$

$$| G E'$$

$$E' \rightarrow + T E'$$

$$| \epsilon$$

# Removing Left-Recursions (2)

```
1  ALGORITHM: RemoveLR
2  INPUT: CFG  $G = (V, \Sigma, R, S)$ 
3  ASSUME:  $G$  acyclic  $\wedge$  with no  $\epsilon$ -productions
4  OUTPUT:  $G'$  s.t.  $G' \equiv G$ ,  $G'$  has no
5         indirect & direct left-recursions
6  PROCEDURE:
7     impose an order on  $V$ :  $\langle\langle A_1, A_2, \dots, A_n \rangle\rangle$ 
8     for  $i$ : 1 ..  $n$ :
9         for  $j$ : 1 ..  $i-1$ :
10            if  $\exists A_i \rightarrow A_j \gamma \in R \wedge A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_m \in R$  then
11                replace  $A_i \rightarrow A_j \gamma$  with  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_m \gamma$ 
12            end
13        for  $A_i \rightarrow A_j \alpha \mid \beta \in R$ :
14            replace it with:  $A_i \rightarrow \beta A', A' \rightarrow \alpha A' \mid \epsilon$ 
```

## Indirectly Left-Recursive CFG:

$A$	$\rightarrow$	$Ba$		$b$
$B$	$\rightarrow$	$Cd$		$e$
$C$	$\rightarrow$	$Df$		$g$
$D$	$\rightarrow$	$f$		$Aa \mid Cg$

# Removing Left-Recursions (3)

```
1  ALGORITHM: RemoveLR
2  INPUT: CFG  $G = (V, \Sigma, R, S)$ 
3  ASSUME:  $G$  acyclic  $\wedge$  with no  $\epsilon$ -productions
4  OUTPUT:  $G'$  s.t.  $G' \equiv G$ ,  $G'$  has no
5           indirect & direct left-recursions
6  PROCEDURE:
7     impose an order on  $V$ :  $\langle\langle A_1, A_2, \dots, A_n \rangle\rangle$ 
8     for  $i$ : 1 ..  $n$ :
9         for  $j$ : 1 ..  $i-1$ :
10            if  $\exists A_j \rightarrow A_j \gamma \in R \wedge A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_m \in R$  then
11                replace  $A_j \rightarrow A_j \gamma$  with  $A_j \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_m \gamma$ 
12            end
13        for  $A_j \rightarrow A_j \alpha \mid \beta \in R$ :
14            replace it with:  $A_j \rightarrow \beta A', A' \rightarrow \alpha A' \mid \epsilon$ 
```

## Directly Left-Recursive CFG:

```
Expr  → Expr + Term
        | Term
Term  → Term * Factor
        | Factor
Factor → (Expr)
        | a
```

# Removing Left-Recursions (4)

```
1 ALGORITHM: RemoveLR
2 INPUT: CFG  $G = (V, \Sigma, R, S)$ 
3 ASSUME:  $G$  acyclic  $\wedge$  with no  $\epsilon$ -productions
4 OUTPUT:  $G'$  s.t.  $G' \equiv G$ ,  $G'$  has no
5         indirect & direct left-recursions
6 PROCEDURE:
7   impose an order on  $V$ :  $\langle\langle A_1, A_2, \dots, A_n \rangle\rangle$ 
8   for  $i$ : 1 ..  $n$ :
9     for  $j$ : 1 ..  $i-1$ :
10      if  $\exists A_i \rightarrow A_j \gamma \in R \wedge A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_m \in R$  then
11        replace  $A_i \rightarrow A_j \gamma$  with  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_m \gamma$ 
12      end
13      for  $A_i \rightarrow A_j \alpha \mid \beta \in R$ :
14        replace it with:  $A_i \rightarrow \beta A', A' \rightarrow \alpha A' \mid \epsilon$ 
```

## Directly Left-Recursive CFG:

```
Expr  → Expr + Term
      | Expr - Term
      | Term
Term   → Term * Factor
      | Term / Factor
      | Factor
```

$A$  is not nullable

$A \rightarrow \epsilon$  nullable

$B \rightarrow C$

$C \rightarrow D$

$D \rightarrow \epsilon$

$B \rightarrow C \rightarrow D$  nullable

$B \rightarrow d_1 d_2 \dots d_n$

$B$  is nullable  
if?  
 $d_1 d_2 \dots d_n$   
all nullable



known:  $d_1$

$d_2$

$d_3$

nullable

$$A \rightarrow \underline{d_1} \underline{d_2} \underline{d_3}$$

$$\begin{array}{ccc} | & | & | \\ | & | & 0 \\ | & 0 & | \\ & ; & 0 \end{array}$$

$A \rightarrow$   $0 \quad 0 \quad 0$   
 $d_1 d_2 d_3$

$$| \quad d_1 \quad d_2$$

$$| \quad d_1 \quad d_3$$

⋮

~~$| \quad \epsilon$~~

$$\begin{array}{l} d_1 \rightarrow \epsilon \\ d_2 \rightarrow \epsilon \\ d_3 \rightarrow \epsilon \end{array}$$

# Eliminating epsilon-Productions

$G_1 \neq G_2$

<u>S</u>	$\rightarrow$	<u>AB</u>
<u>A</u>	$\rightarrow$	a <u>AA</u>   <u><math>\epsilon</math></u>
<u>B</u>	$\rightarrow$	b <u>BB</u>   <u><math>\epsilon</math></u>

$\rightarrow G_1$

$\epsilon \in L(G_1)$

Q: Nullable variables?

A B S

$G_2$

$S \rightarrow A \mid B \mid AB$	}	$\epsilon \notin L(G_2)$
$A \rightarrow aA \mid aAA \mid a$		
$B \rightarrow bB \mid bBB \mid b$		